To: All SEBI Registered Portfolio Managers
Subject: Active business period of Portfolio Managers
Date: 27th September 2023

Dear All,

This is with reference to the email below from SEBI dated 26th September 2023.

For easing the offsite data collection compliance, SEBI has requested information from all the Portfolio Managers about their client level activity between the period 1st April 2020 till 30th September 2023; more particularly the date on which the first client was onboarded and the date on which the last client was redeemed.

- **Please refer to the following link for providing the required data: https://docs.google.com/forms/d/11VUuHAyvGRlBTc6bBf4YqlAHbK9MQPHwS0O5XKGsL3c/edit?ts=6512bae9**

You are requested to kindly submit your responses by **Tuesday 3rd October 2023.** The link will become inactive post 8.00 pm on 3rd October 2023.

Association of Portfolio Managers in India
B-121, 10th Floor, WeWork- Enam Sambhav
G-Block, Bandra Kurla Complex, Mumbai- 400051

Contact us at: https://www.apmiindia.org/apmi/contactus.htm

---------- Forwarded message ---------
From: **pms_offsite_inspection** <pms_offsite_inspection@sebi.gov.in>
Date: Tue, Sep 26, 2023 at 3:36 PM
Subject: Active business period of Portfolio Managers.
To: Rashim Bagga <rashim.bagga@apmiindia.org>
Cc: PRIYANKA MAHAPATRA <priyankam@sebi.gov.in>, HARSHAD SHYAMKARN PATIL <harshadp@sebi.gov.in>

Dear Sir,

We have received representations from multiple Portfolio Managers who have not provided portfolio management services during the period for which data for offsite inspection cum surveillance is being sought.

In view of this, in order to ensure ease of compliance, you are requested to provide response in aggregated manner (of all PMS) during the period April 2020 to September 30, 2023 the date on which the first client was onboarded and the date on which the last client was redeemed (format attached). Portfolio Managers are required to submit data only for the period declared.

Thanks and regards,

IMD-SEC

ASSOCIATION OF PORTFOLIO MANAGERS IN INDIA
Corporate Office: B-121, 10th Floor, WeWork- Enam Sambhav G-Block, Bandra Kurla Complex, Mumbai- 400051 Maharashtra. CIN: U91100MH2021NPL374185.